

P24975.P01

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

022604

16598 U.S. PTO

Attorney Docket No.	P24975	Total Pages	
Inventor(s) or Application Identifier	Junichi IDE, Takeshi UMEGA, Haruhiko YAMAGUCHI, Futoshi KOBAYASHI, Tsuyoshi ITOH, Yoshimi YODOGAWA and Mamoru USAMI		
Title: OPTICAL RECORDING MEDIUM-MANUFACTURING APPARATUS			

ADDRESS TO:

**Commissioner for Patents
Mail Stop Patent Application
PO Box 1450
Alexandria, VA 22313-1450**

APPLICATION ELEMENTS

1. Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
 - a. Small Entity Statement(s)
3. Specification [Total Pages 35]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 USC 113) [Total Sheets 6]
5. Oath or Declaration [Total Pages 5]
 - a. Newly executed (original or copy) Unexecuted
 - b. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 20 completed)
[Note Box 6 below]
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. Incorporation By Reference (useable if Box 5b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
7. Application Data Sheet. See 37 CFR 1.76
8. CD-Rom or CD-R in duplicate, large table or Computer Program (*Appendix*)

ACCOMPANYING APPLICATION PARTS

9. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies
10. Assignment Papers (cover sheet & document(s))
11. 37 CFR 3.73(b) Statement Power of Attorney
(when there is an assignee)
12. English Translation Document (if applicable)
13. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
14. Preliminary Amendment
15. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
16. Figure of Drawing to be published 1
17. Foreign priority claimed
 - a. Claim of Priority
 - b. Certified Copy of Priority Document(s)
18. Nonpublication Request under 35 U.S.C. 122 (b) (2) (B) (i).
Applicant must attach form PTO/SB/35 or its equivalent.
19. Assignee: TDK Corporation of Tokyo, Japan
20. Other: _____

21. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 C.F.R. 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior Application No. /, filed _____.
Prior application information: Examiner: _____ Group Art Unit: _____

Address all future correspondence to Customer No. 7055 at the present address of:

GREENBLUM & BERNSTEIN, P.L.C.
1950 Roland Clarke Place
Reston, VA 20191
(703) 716-1191

2/26/04

Date

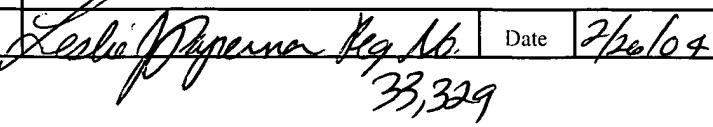
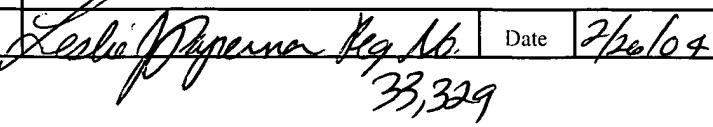
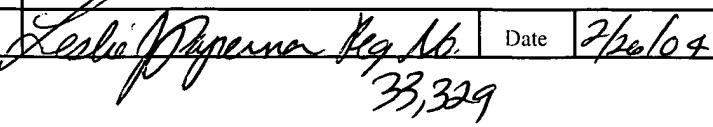

Signature 33,329

Bruce H. Bernstein, Reg. No. 29,027
Typed or Printed Name

FEE TRANSMITTAL

Complete if Known	
Application Number	Not Yet Assigned
Filing Date	Concurrently Herewith
First Named Inventor	Junichi IDE et al.
Group Art Unit	Unassigned
Examiner Name	Unassigned

TOTAL AMOUNT OF PAYMENT	(\$810.00)	Attorney Docket Number	P24975
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METHOD OF PAYMENT (check one)				FEES CALCULATION (continued)																																																																																																																																																																																																																																																																															
<p>1. <input checked="" type="checkbox"/> The U.S Patent and Trademark Office is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number <u>19-0089</u></p> <p>Deposit Account Name <u>GREENBLUM & BERNSTEIN, P.L.C.</u></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3)) <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b) <input type="checkbox"/> Applicant Claims Small Entity Status See 37 CFR 1.27.</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>				<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Entity Fee (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>1051</td><td>130</td><td>2051</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>1052</td><td>50</td><td>2052</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr> <tr><td>1053</td><td>130</td><td>1053</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>1812</td><td>2,520</td><td>1812</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>1804</td><td>920*</td><td>1804</td><td>920*</td><td>Requesting publication of SIR</td><td></td></tr> <tr><td>1805</td><td>1,840*</td><td>1805</td><td>1,840*</td><td>Prior to Examiner action</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>1251</td><td>110</td><td>2251</td><td>55</td><td>Extension for response within 1st month</td><td></td></tr> <tr><td>1252</td><td>420</td><td>2252</td><td>210</td><td>Extension for response within 2nd month</td><td></td></tr> <tr><td>1253</td><td>950</td><td>2253</td><td>475</td><td>Extension for response within 3rd month</td><td></td></tr> <tr><td>1254</td><td>1,480</td><td>2254</td><td>740</td><td>Extension for response within 4th month</td><td></td></tr> <tr><td>1255</td><td>2,010</td><td>2255</td><td>1,005</td><td>Extension for response within 5th month</td><td></td></tr> <tr><td>1401</td><td>330</td><td>2401</td><td>165</td><td>Notice of Appeal</td><td></td></tr> <tr><td>1402</td><td>330</td><td>2402</td><td>165</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>1403</td><td>290</td><td>2403</td><td>145</td><td>Request for oral hearing</td><td></td></tr> <tr><td>1451</td><td>1,510</td><td>1451</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td>Petition to revive unavoidably abandoned application</td><td></td></tr> <tr><td>1452</td><td>110</td><td>2452</td><td>55</td><td>Petition to revive unintentionally abandoned application</td><td></td></tr> <tr><td>1453</td><td>1,330</td><td>2453</td><td>665</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>1501</td><td>1,330</td><td>2501</td><td>665</td><td>Design issue fee</td><td></td></tr> <tr><td>1502</td><td>480</td><td>2502</td><td>240</td><td>Plant issue fee</td><td></td></tr> <tr><td>1503</td><td>640</td><td>2503</td><td>320</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>1460</td><td>130</td><td>1460</td><td>130</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>1807</td><td>50</td><td>1807</td><td>50</td><td>Submission of IDS</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>1806</td><td>180</td><td>1806</td><td>180</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td>8021</td><td>40</td><td>8021</td><td>40</td><td>40.00</td><td></td></tr> <tr><td>1809</td><td>770</td><td>2809</td><td>385</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td>1810</td><td>770</td><td>2810</td><td>385</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>1801</td><td>770</td><td>2801</td><td>385</td><td>SUBTOTAL (3) (\$)</td><td><u>40.00</u></td></tr> <tr><td>1802</td><td>900</td><td>1802</td><td>900</td><td>*Reduced by Basic Filing Fee paid</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td></td><td></td></tr> <tr><td>Large Entity Fee Code (\$)</td><td>Small Entity Fee Code (\$)</td><td colspan="2">Fee Description</td><td></td><td></td></tr> <tr><td>1202</td><td>18</td><td>2202</td><td>9</td><td>Claims in excess of 20</td><td></td></tr> <tr><td>1201</td><td>86</td><td>2201</td><td>43</td><td>Independent claims in excess of 3</td><td></td></tr> <tr><td>1203</td><td>290</td><td>2203</td><td>145</td><td>Multiple dependent claim</td><td></td></tr> <tr><td>1204</td><td>86</td><td>2204</td><td>43</td><td>Reissue independent claims over original patent</td><td></td></tr> <tr><td>1205</td><td>18</td><td>2205</td><td>9</td><td>Reissue claims in excess of 20 and over original patent</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td></td><td></td></tr> <tr><td colspan="4">SUBMITTED BY</td><td colspan="3">Complete (if applicable)</td></tr> <tr> <td>Typed or Printed Name</td> <td colspan="4">Bruce H Bernstein</td> <td>Reg. Number</td> <td>29,027</td> </tr> <tr> <td>Signature</td> <td colspan="4">  </td> <td>Date</td> <td>2/26/04</td> </tr> <tr> <td></td> <td colspan="4"></td> <td>Deposit Account User ID</td> <td>33,329</td> </tr> </tbody> </table>				Large Entity Fee Code (\$)	Entity Fee (\$)	Small Entity Fee Code (\$)	Entity Fee (\$)	Fee Description	Fee Paid	1051	130	2051	65	Surcharge - late filing fee or oath		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.		1053	130	1053	130	Non-English specification		1812	2,520	1812	2,520	For filing a request for reexamination		1804	920*	1804	920*	Requesting publication of SIR		1805	1,840*	1805	1,840*	Prior to Examiner action						Requesting publication of SIR after Examiner action		1251	110	2251	55	Extension for response within 1st month		1252	420	2252	210	Extension for response within 2nd month		1253	950	2253	475	Extension for response within 3rd month		1254	1,480	2254	740	Extension for response within 4th month		1255	2,010	2255	1,005	Extension for response within 5th month		1401	330	2401	165	Notice of Appeal		1402	330	2402	165	Filing a brief in support of an appeal		1403	290	2403	145	Request for oral hearing		1451	1,510	1451	1,510	Petition to institute a public use proceeding						Petition to revive unavoidably abandoned application		1452	110	2452	55	Petition to revive unintentionally abandoned application		1453	1,330	2453	665	Utility issue fee (or reissue)		1501	1,330	2501	665	Design issue fee		1502	480	2502	240	Plant issue fee		1503	640	2503	320	Petitions to the Commissioner		1460	130	1460	130	Petitions related to provisional applications		1807	50	1807	50	Submission of IDS						Recording each patent assignment per property (times number of properties)		1806	180	1806	180	Filing a submission after final rejection (37 CFR 1.129(a))		8021	40	8021	40	40.00		1809	770	2809	385	For each additional invention to be examined (37 CFR 1.129(b))		1810	770	2810	385	Request for Continued Examination (RCE)		1801	770	2801	385	SUBTOTAL (3) (\$)	<u>40.00</u>	1802	900	1802	900	*Reduced by Basic Filing Fee paid								Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description				1202	18	2202	9	Claims in excess of 20		1201	86	2201	43	Independent claims in excess of 3		1203	290	2203	145	Multiple dependent claim		1204	86	2204	43	Reissue independent claims over original patent		1205	18	2205	9	Reissue claims in excess of 20 and over original patent								SUBMITTED BY				Complete (if applicable)			Typed or Printed Name	Bruce H Bernstein				Reg. 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